

**RECEIVED**

JUL 12 2002

Sheet 1 of 1

Technology Center 2100

**Form PTO-1449 Modified**

List of Patent and Publications  
Cited by Applicant  
(Use several sheets if necessary)

U.S. Department of Commerce  
Patent and Trademark Office

Docket No.  
MSFT-0038/36765.2

Serial No.  
09/268,146

Applicant  
Lomet et al.

Filing Date  
March 15, 1999

Group  
2771

**U. S. PATENT DOCUMENTS**

Examiner Initial		Document No.	Date	Name	Class	Subclass
SA	1	4,498,145	02/05/85	Baker et al.	707	202
SA	2	5,778,388	07/07/98	Kawamura et al.	707	203
SA	3	5,826,089	10/20/98	Ireton	395	707
SA	4	6,044,379	03/28/00	Callsen	707	103

**FOREIGN PATENT DOCUMENTS**

Examiner Initial		Document No.	Date	Country	Translation YES NO

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

SA	5	Mohan, C. et al., "ARIES: A Transaction Recovery Method Supporting Fine-Granularity Locking and Partial Rollbacks Using Write-Ahead Logging," <i>ACM Trans. On Database Systems</i> , March 1992, 17(1), 94-162

**EXAMINER**

SA [Signature]

**DATE CONSIDERED**

3/5/05

**Form PTO-1449 Modified**Docket No.  
MSFT-0038/36765.2Serial No.  
09/268,146List of Patent and Publications  
Cited by Applicant  
(Use several sheets if necessary)Applicant  
David B. Lomet, et al.U.S. Department of Commerce  
Patent and Trademark OfficeFiling Date  
March 15, 1999Group  
2771**U. S. PATENT DOCUMENTS**

Examiner Initial		Document No.	Date	Name	Class	Subclass
SA	AA	5,287,501	2/1994	Lomet	707	202
SA	AB	5,317,731	5/1994	Dias et al.	707	202
SA	AC	5,412,801	5/1995	De Remer et al.	395	182
SA	AD	5,485,608	1/1996	Lomet et al.	707	202
SA	AE	5,524,205	6/1996	Lomet et al.	395	182
SA	AF	5,594,863	1/1997	Stiles	395	182

**FOREIGN PATENT DOCUMENTS**

Examiner Initial		Document No.	Date	Country	Translation YES NO

EXAMINER

Shahid Alam

DATE CONSIDERED

3/5/05